

Electron Scattering Homework Solution
 NuPEERS Pilot Summer School
 Dillard University, New Orleans, LA
 June 13-15, 2024

Paul Guèye

1 Homework one: Calculate the elastic scattering kinematics

1.1 General Relativistic equations

For a particle of mass m with kinetic energy K and momentum \mathbf{P} , the total energy E can be expressed as

$$E^2 = m^2 + \mathbf{P}^2 \text{ or } E = m + K \quad (1)$$

The magnitude of the momentum $|\mathbf{P}|$ can be calculated from the above two equations

$$m^2 + \mathbf{P}^2 = (m + K)^2 \Rightarrow \mathbf{P}^2 = (m + K)^2 - m^2 \quad (2)$$

Using $a^2 - b^2 = (a - b)(a + b)$

$$\mathbf{P}^2 = |\mathbf{P}|^2 = [(m + K) - m][(m + K) + m] = K(K + 2m) \quad (3)$$

Therefore

$$\boxed{|\mathbf{P}| = \sqrt{K(K + 2m)}} \quad (4)$$

1.2 Electron side

The incident and outgoing electrons kinematics are (each with mass m_e)

$$\left\{ \begin{array}{l} E_{inc}^2 = m_e^2 + \mathbf{P}_{inc}^2 \\ E_{out}^2 = m_e^2 + \mathbf{P}_{out}^2 \end{array} \right. \text{ and } \left\{ \begin{array}{l} E_{inc} = m_e + K_{inc} \\ E_{out} = m_e + K_{out} \end{array} \right. \quad (5)$$

From Eqs. 5, one can express the momenta as a function of the kinetic energies:

$$\left\{ \begin{array}{l} m_e^2 + \mathbf{P}_{inc}^2 = (m_e + K_{inc})^2 \\ m_e^2 + \mathbf{P}_{out}^2 = (m_e + K_{out})^2 \end{array} \right. \Rightarrow \left\{ \begin{array}{l} \mathbf{P}_{inc}^2 = (m_e + K_{inc})^2 - m_e^2 \\ \mathbf{P}_{out}^2 = (m_e + K_{out})^2 - m_e^2 \end{array} \right. \quad (6)$$

$$\left\{ \begin{array}{l} \mathbf{P}_{inc}^2 = [(m_e + K_{inc}) - m_e][(m_e + K_{inc}) + m_e] = K_{inc}(K_{inc} + 2m_e) \\ \mathbf{P}_{out}^2 = [(m_e + K_{out}) - m_e][(m_e + K_{out}) + m_e] = K_{out}(K_{out} + 2m_e) \end{array} \right. \quad (7)$$

1.3 Elastic Collisions

1.3.1 Nuclei collisions

Let an incident electron of mass m_e with 4-momentum $(E_{inc}, \mathbf{P}_{inc})$ impinging on a nucleus of mass M_A with 4-momentum (E_A, \mathbf{P}_A) , leaving with a 4-momentum $(E_{out}, \mathbf{P}_{out})$ and the nucleus with 4-momentum $(E_{A'}, \mathbf{P}_{A'})$. Using the conservation of energy and momentum leads to:

$$e + A \rightarrow e' + A' \Rightarrow \begin{cases} E_{inc} + E_A &= E_{out} + E_{A'} \\ \mathbf{P}_{inc} + \mathbf{P}_A &= \mathbf{P}_{out} + \mathbf{P}_{A'} \end{cases} \quad (8)$$

with (from Eq.1)

$$\begin{cases} E_{inc}^2 &= m_e^2 + \mathbf{P}_{inc}^2 &;& E_A^2 &= M_A^2 + \mathbf{P}_A^2 \\ E_{out}^2 &= m_e^2 + \mathbf{P}_{out}^2 &;& E_{A'}^2 &= M_A^2 + \mathbf{P}_{A'}^2 \end{cases} \quad (9)$$

Electrons kinematics From Eq. 8, one can express the energy and momentum of the nucleus after the collision as (noting that in the laboratory frame, the target A is at rest: $|\mathbf{P}_A| = 0$)

$$\begin{cases} E_{A'} &= E_{inc} - E_{out} + E_A \\ \mathbf{P}_{A'} &= \mathbf{P}_{inc} - \mathbf{P}_{out} + \mathbf{P}_A \end{cases} \Rightarrow \begin{cases} E_{A'} &= E_{inc} - E_{out} + M_A \\ \mathbf{P}_{A'} &= \mathbf{P}_{inc} - \mathbf{P}_{out} \end{cases} \quad (10)$$

$$\Rightarrow \begin{cases} E_{A'}^2 &= (E_{inc} - E_{out} + M_A)^2 \\ \mathbf{P}_{A'}^2 &= (\mathbf{P}_{inc} - \mathbf{P}_{out})^2 \end{cases} \quad (11)$$

Expanding the expressions from Eq. 11

$$\begin{cases} E_{A'}^2 &= (E_{inc} - E_{out})^2 + 2(E_{inc} - E_{out})M_A + M_A^2 \\ \mathbf{P}_{A'}^2 &= \mathbf{P}_{inc}^2 - 2\mathbf{P}_{inc} \cdot \mathbf{P}_{out} + \mathbf{P}_{out}^2 \end{cases} \quad (12)$$

Leading to

$$\begin{cases} \mathbf{P}_{A'}^2 + M_A^2 &= E_{inc}^2 - 2E_{inc}E_{out} + E_{out}^2 + 2(E_{inc} - E_{out})M_A + M_A^2 \\ \mathbf{P}_{A'}^2 &= \mathbf{P}_{inc}^2 - 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta + \mathbf{P}_{out}^2 \end{cases} \quad (13)$$

Subtracting the two lines in Eq. 13

$$\cancel{M_A^2} = E_{inc}^2 - 2E_{inc}E_{out} + E_{out}^2 + 2(E_{inc} - E_{out})M_A + \cancel{M_A^2} - (\mathbf{P}_{inc}^2 - 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta + \mathbf{P}_{out}^2) \quad (14)$$

Canceling M_A^2 from both sides and expanding the last term

$$\Rightarrow 0 = E_{inc}^2 - 2E_{inc}E_{out} + E_{out}^2 + 2(E_{inc} - E_{out})M_A - \mathbf{P}_{inc}^2 + 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - \mathbf{P}_{out}^2 \quad (15)$$

Probing nuclear sizes require energies around 100 MeV and beyond. Since the mass of the electron is $m_e = 0.511$ MeV, one can neglect this mass in Eq. 5 at relativistic energies ($\beta = v/c = |\mathbf{P}|/E \gg 0.5$): $E_{inc} = P_{inc} = K_{inc}$ and $E_{out} = P_{out} = K_{out}$

$$\Rightarrow 0 = \cancel{K_{inc}^2} - 2K_{inc}K_{out} + \cancel{K_{out}^2} + 2(K_{inc} - K_{out})M_A - \cancel{K_{inc}^2} + 2K_{inc}K_{out}\cos\Theta - \cancel{K_{out}^2} \quad (16)$$

Eliminating K_{inc}^2 and K_{out}^2 leads to

$$0 = -2K_{inc}K_{out} + 2(K_{inc} - K_{out})M_A + 2K_{inc}K_{out}\cos\Theta \quad (17)$$

which reduces to

$$K_{inc}K_{out}(\cos\Theta - 1) + (K_{inc} - K_{out})M_A = 0 \quad (18)$$

Noting that

$$\begin{aligned} \cos^2 x + \sin^2 x &= 1 \\ \cos^2 x - \sin^2 x &= \cos 2x \end{aligned} \Rightarrow 2\sin^2 x = 1 - \cos 2x \Rightarrow -2\sin^2 x = -1 + \cos 2x \quad (19)$$

Replacing x by $\Theta/2$ gives

$$-2\sin^2 \Theta/2 = \cos \Theta - 1 \quad (20)$$

Substituting in Eq. 17

$$K_{inc}K_{out}(-2\sin^2 \Theta/2) + (K_{inc} - K_{out})M_A = 0 \Rightarrow -2K_{inc}K_{out}\sin^2 \Theta/2 + (K_{inc} - K_{out})M_A = 0 \quad (21)$$

Which can be re-written as

$$\begin{aligned} -2K_{inc}K_{out}\sin^2 \Theta/2 + K_{inc}M_A - K_{out}M_A &= 0 \\ \Rightarrow K_{out}(-2K_{inc}\sin^2 \Theta/2 - M_A) + K_{inc}M_A &= 0 \end{aligned} \quad (22)$$

Dividing Eq. 22 by M_A

$$\frac{K_{out}(-2K_{inc}\sin^2 \Theta/2 - M_A)}{M_A} + \frac{K_{inc}M_A}{M_A} = 0 \Rightarrow -K_{out}\left(\frac{2K_{inc}\sin^2 \Theta/2}{M_A} + \frac{M_A}{M_A}\right) + K_{inc} = 0 \quad (23)$$

Hence one can solve for K_{out}

$$K_{out}\left(\frac{2K_{inc}\sin^2 \Theta/2}{M_A} + 1\right) = K_{inc} \Rightarrow K_{out} = \frac{K_{inc}}{\left(\frac{2K_{inc}\sin^2 \Theta/2}{M_A} + 1\right)} \quad (24)$$

Or

$$\boxed{K_{out} = \frac{K_{inc}}{1 + \frac{2K_{inc}}{M_A}\sin^2 \Theta/2}} \quad (25)$$

Note that a similar expression can be extracted but solving for K_{inc}

$$\boxed{K_{inc} = \frac{K_{out}}{1 - \frac{2K_{out}}{M_A}\sin^2 \Theta/2}} \quad (26)$$

Virtual photon kinematics The virtual photon transferred during the scattering process has energy ω , momentum \mathbf{q} and mass Q^2 which can be expressed as (following Eq. 1)

$$\begin{cases} \omega &= E_{inc} - E_{out} \\ \mathbf{q} &= \mathbf{P}_{inc} - \mathbf{P}_{out} \\ Q^2 &= \omega^2 - \mathbf{q}^2 \end{cases} \quad (27)$$

Solving for Q^2 and neglecting the electron mass m_e (note that $Q^2 < 0$ since the virtual photon is space-like)

$$\begin{aligned} Q^2 &= (E_{inc} - E_{out})^2 - (\mathbf{P}_{inc} - \mathbf{P}_{out})^2 \\ &= K_{inc}^2 - 2K_{inc}K_{out} + K_{out}^2 - K_{inc}^2 + 2K_{inc}K_{out} \cos \Theta - K_{out}^2 \\ &= -2K_{inc}K_{out} + 2K_{inc}K_{out} \cos \Theta \\ &= 2K_{inc}K_{out}(\cos \Theta - 1) = 2K_{inc}K_{out}(-2 \sin^2 \Theta/2) \end{aligned} \quad (28)$$

Which leads to

$$\boxed{Q^2 = -4K_{inc}K_{out} \sin^2 \Theta/2} \quad (29)$$

Solving for ω using Eq. 21

$$-2K_{inc}K_{out} \sin^2 \Theta/2 + (K_{inc} - K_{out}) M_A = 0 \Rightarrow \frac{Q^2}{2} + \omega M_A = 0 \quad (30)$$

Re-arranging to obtain ω

$$\frac{Q^2}{2} = -\omega M_A \Rightarrow \boxed{\omega = \frac{-Q^2}{2M_A}} \quad (31)$$

Solving for \mathbf{q} using Eq. 27

$$|\mathbf{q}|^2 = (\mathbf{P}_{inc} - \mathbf{P}_{out})^2 = K_{inc}^2 - 2K_{inc}K_{out} \cos \Theta + K_{out}^2 \quad (32)$$

Or

$$\boxed{q = \sqrt{K_{inc}^2 - 2K_{inc}K_{out} \cos \Theta + K_{out}^2}} \quad (33)$$

1.3.2 Nucleon collisions or quasi-elastic scattering

Quasi-elastic scattering is an elastic scattering process when the electron penetrates the nucleus and elastically scattered off a nucleon. Since nucleons are packed inside a tight volume (e.g., the nucleus) they are not at rest and move at relativistic speeds: the scattering occurs with nucleons having a momentum distribution centered around some mean value.

Let an incident electron of mass m_e with 4-momentum $(E_{inc}, \mathbf{P}_{inc})$ impinging on a nucleon of mass M_N with 4-momentum (E_N, \mathbf{P}_N) , leaving with a 4-momentum $(E_{out}, \mathbf{P}_{out})$ and the nucleon with 4-momentum $(E_{N'}, \mathbf{P}_{N'})$. Using the conservation of energy and momentum leads to:

$$e + N \rightarrow e' + N' \Rightarrow \begin{cases} E_{inc} + E_N &= E_{out} + E_{N'} \\ \mathbf{P}_{inc} + \mathbf{P}_N &= \mathbf{P}_{out} + \mathbf{P}_{N'} \end{cases} \quad (34)$$

with

$$\begin{cases} E_{inc}^2 &= m_e^2 + \mathbf{P}_{inc}^2 & ; & E_N^2 &= M_N^2 + \mathbf{P}_N^2 \\ E_{out}^2 &= m_e^2 + \mathbf{P}_{out}^2 & ; & E_{N'}^2 &= M_N^2 + \mathbf{P}_{N'}^2 \end{cases} \quad (35)$$

Electrons kinematics Following the same procedure as in section 1.3.1 leads to

$$\begin{cases} E_{N'}^2 &= (E_{inc} - E_{out} + E_N)^2 \\ \mathbf{P}_{N'}^2 &= (\mathbf{P}_{inc} - \mathbf{P}_{out} + \mathbf{P}_N)^2 \end{cases} \quad (36)$$

Expanding the expressions from Eq. 36

$$\begin{cases} E_{N'}^2 &= (E_{inc} - E_{out})^2 + 2(E_{inc} - E_{out})E_N + E_N^2 \\ \mathbf{P}_{N'}^2 &= (\mathbf{P}_{inc} - \mathbf{P}_{out})^2 + 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N + \mathbf{P}_N^2 \end{cases} \quad (37)$$

Therefore

$$\begin{cases} \mathbf{P}_{N'}^2 + M_N^2 &= E_{inc}^2 - 2E_{inc}E_{out} + E_{out}^2 + 2(E_{inc} - E_{out})E_N + E_N^2 \\ \mathbf{P}_{N'}^2 &= \mathbf{P}_{inc}^2 - 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta + \mathbf{P}_{out}^2 + 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N + \mathbf{P}_N^2 \end{cases} \quad (38)$$

Subtracting the two lines in Eq. 38 leads to

$$\begin{aligned} M_N^2 &= E_{inc}^2 - 2E_{inc}E_{out} + E_{out}^2 + 2(E_{inc} - E_{out})E_N + E_N^2 \\ &- \mathbf{P}_{inc}^2 + 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - \mathbf{P}_{out}^2 - 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N - \mathbf{P}_N^2 \end{aligned} \quad (39)$$

Consequently

$$\begin{aligned} M_N^2 &= (E_{inc}^2 - \mathbf{P}_{inc}^2) - 2E_{inc}E_{out} + (E_{out}^2 - \mathbf{P}_{out}^2) + 2(E_{inc} - E_{out})E_N + (E_N^2 - \mathbf{P}_N^2) \\ &+ 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N \end{aligned} \quad (40)$$

$$\begin{aligned} \cancel{M_N^2} &= m_e^2 - 2E_{inc}E_{out} + m_e^2 + 2(E_{inc} - E_{out})E_N + \cancel{M_N^2} \\ &+ 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N \end{aligned} \quad (41)$$

Canceling M_N^2 from both sides and expanding the last term

$$0 = m_e^2 - 2E_{inc}E_{out} + m_e^2 + 2(E_{inc} - E_{out})E_N + 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N \quad (42)$$

Neglecting the mass of the electron as discussed in section 1.3.1

$$0 = -2K_{inc}K_{out} + 2(K_{inc} - K_{out})E_N + 2|\mathbf{P}_{inc}||\mathbf{P}_{out}|\cos\Theta - 2(\mathbf{P}_{inc} - \mathbf{P}_{out}) \cdot \mathbf{P}_N \quad (43)$$

Substituting $\omega = K_{inc} - K_{out}$ and $\mathbf{q} = \mathbf{P}_{inc} - \mathbf{P}_{out}$

$$\begin{aligned} 0 &= -2K_{inc}K_{out} + 2\omega E_N + 2K_{inc}K_{out}\cos\Theta - 2\mathbf{q} \cdot \mathbf{P}_N \\ &= 2K_{inc}K_{out}(\cos\Theta - 1) + 2\omega E_N - 2\mathbf{q} \cdot \mathbf{P}_N \\ &= -4K_{inc}K_{out}\sin^2\Theta/2 + 2\omega E_N - 2\mathbf{q} \cdot \mathbf{P}_N \\ &= Q^2 + 2\omega E_N - 2\mathbf{q} \cdot \mathbf{P}_N \end{aligned} \quad (44)$$

Solving for ω

$$Q^2 + 2\omega E_N - 2\mathbf{q} \cdot \mathbf{P}_N = 0 \Rightarrow 2\omega E_N = Q^2 + 2\mathbf{q} \cdot \mathbf{P}_N \quad (45)$$

which gives

$$\boxed{\omega = \frac{Q^2}{2E_N} + \frac{\mathbf{q} \cdot \mathbf{P}_N}{E_N}} \quad (46)$$

The mass of a nucleon M_N is of the order of 1 GeV ($M_{proton} = 938$ MeV and $M_{neutron} = 939$ MeV). Making the approximation that $|\mathbf{P}_N| \ll M_N$, one is left with $E_N \simeq M_N$. Therefore

$$\omega \simeq \frac{Q^2}{2M_N} + \frac{\mathbf{q} \cdot \mathbf{P}_N}{M_N} \quad (47)$$

We can define the mean binding energy per nucleon $\bar{\varepsilon}$ to be

$$\bar{\varepsilon} = \frac{\mathbf{q} \cdot \mathbf{P}_N}{M_N} \Rightarrow \omega \simeq \frac{Q^2}{2M_N} + \bar{\varepsilon} \quad (48)$$

The energy transferred can be re-written as

$$\boxed{\omega \simeq \frac{Q^2}{2M_N^*}} \quad (49)$$

where M_N^* is the effective mass of a nucleon that includes nuclear effect.

Virtual photon kinematics They are similar to the ones define in section 1.3.1.

2 Homework 2: Form factor calculation

Assuming a known density for a homogeneous sphere with Z protons in a volume $V = \frac{4}{3}\pi R^3$

$$\rho_0(r) \begin{cases} Z/(\frac{4}{3}\pi R^3) & \text{for } r \leq R \\ 0 & \text{for } r > R \end{cases} \quad (50)$$

One can normalize the charge to 1

$$\rho(r) \begin{cases} 1/(\frac{4}{3}\pi R^3) & \text{for } r \leq R \\ 0 & \text{for } r > R \end{cases} \quad (51)$$

The form factor $F(\mathbf{q}^2)$ is defined as

$$F(\mathbf{q}^2) = 4\pi \int \rho(r) \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr \quad (52)$$

From Eq.51

$$\begin{aligned} F(\mathbf{q}^2) &= 4\pi \int_0^R \rho(r) \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr = 4\pi \int_0^R \frac{1}{\frac{4}{3}\pi R^3} \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr \\ &= \cancel{4\pi} \frac{3}{\cancel{4\pi} R^3} \int_0^R \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr = \frac{3}{R^3} \int_0^R \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr \end{aligned} \quad (53)$$

Let define

$$x = \frac{|\mathbf{q}|r}{\hbar} \rightarrow r = \frac{x\hbar}{|\mathbf{q}|} \rightarrow dr = \frac{\hbar}{|\mathbf{q}|} dx \quad (54)$$

The limits of the integral become

$$\begin{cases} r = 0 & \rightarrow x = 0 \\ r = R & \rightarrow x_{max} = \alpha = \frac{|\mathbf{q}|R}{\hbar} \end{cases} \quad (55)$$

Substituting in Eq. 53

$$\begin{aligned}
F(\mathbf{q}^2) &= \frac{3}{R^3} \int_0^\alpha \frac{\sin x}{x} \left(\frac{x\hbar}{|\mathbf{q}|} \right)^2 \frac{\hbar}{|\mathbf{q}|} dx \\
&= \frac{3}{R^3} \int_0^\alpha \frac{\sin x}{x} x^2 \left(\frac{\hbar}{|\mathbf{q}|} \right)^2 \frac{\hbar}{|\mathbf{q}|} dx \\
&= \frac{3}{R^3} \int_0^\alpha \frac{\sin x}{x} x^3 \left(\frac{\hbar}{|\mathbf{q}|} \right)^3 dx \\
&= \frac{3}{R^3} \left(\frac{\hbar}{|\mathbf{q}|} \right)^3 \int_0^\alpha x \sin x dx
\end{aligned} \tag{56}$$

The integral can be solved independently

$$\int x \sin x dx = \sin x - x \cos x \tag{57}$$

Substituting in Eq. 56

$$\begin{aligned}
F(\mathbf{q}^2) &= \frac{3}{R^3} \left(\frac{\hbar}{|\mathbf{q}|} \right)^3 [\sin x - x \cos x]_0^\alpha \\
&= 3 \left(\frac{\hbar}{|\mathbf{q}|R} \right)^3 [\sin \alpha - \alpha \cos \alpha - (\sin 0 - 0 \cos 0)] \\
&= 3 \left(\frac{1}{\alpha} \right)^3 [\sin \alpha - \alpha \cos \alpha]
\end{aligned} \tag{58}$$

Therefore

$$\boxed{F(\mathbf{q}^2) = 4\pi \int \rho(r) \frac{\sin(|\mathbf{q}|r/\hbar)}{|\mathbf{q}|r/\hbar} r^2 dr = \frac{3}{\alpha^3} [\sin \alpha - \alpha \cos \alpha]} \tag{59}$$